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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003-0512A

First Named Inventor : Shunji KISHIMURA et al.

Title: LIGHT SOURCE FOR WHITE COLOR LED LIGHTING AND  
WHITE COLOR LED LIGHTING DEVICE

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2. ☐ Small Entity Status is hereby asserted.3. ☒ Specification [Total Pages: 16]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5]5. ☒ Oath or Declaration [Total Pages : 4]

- a.1. ☒ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ The paper and computer readable copies are identical

## ACCOMPANYING APPLICATION PARTS

- 9. ☒ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
- 15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☒ Other Claim of Priority under 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet :☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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August 22, 2003

THE COMMISSIONER IS AUTHORIZED  
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ACCOUNT NO. 23-097503940 U.S. PTO  
10/645700

08/22/03

15866 U.S. PTO  
-08/22/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunji KISHIMURA et al.

Serial No. NEW

Filed August 22, 2003

LIGHT SOURCE FOR WHITE COLOR LED  
LIGHTING AND WHITE COLOR LED  
LIGHTING DEVICE

Attn: APPLICATION BRANCH

Attorney Docket No. 2003-0512A

THE PATENT OFFICE  
TO CREDIT THE  
FEES TO THE  
ACCOUNT NO. 23-0975  
DEPOSIT

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$790.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$750.00

Assignment for Recordal ..... \$40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Shunji KISHIMURA et al.

By

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August 22, 2003

[Check No. 57261]

2003\_0512A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Shunji KISHIMURA et al. : **Attn: APPLICATION BRANCH**  
Serial No. NEW : Attorney Docket No. 2003-0512A  
Filed August 22, 2003 :  
LIGHT SOURCE FOR WHITE COLOR LED :  
LIGHTING AND WHITE COLOR LED :  
LIGHTING DEVICE :

COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
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ACCOUNT NO. 23-0975

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

There are submitted herewith 2 sheets of revised A4P formal drawings showing Figs. 8 and 9.

Kindly substitute the attached formal drawings for those of record.

Respectfully submitted,

Shunji KISHIMURA et al.

By

  
\_\_\_\_\_  
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August 22, 2003